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SFC Prosecutes and Reprimands Wong Him Chi Angela for Short Selling

8 May 1997

The SFC announced today that it had successfully prosecuted Ms Wong Him Chi Angela ('Wong') for short selling securities whilst she was employed as a securities dealer's representative of Megabase Securities Limited. Wong was also disciplined by the SFC in relation to her short selling resulting in her being issued with a reprimand.

Wong was fined \$2,000 by Mr Barnabas Fung at Western Magistracy on 7 May 1997 for short selling securities in contravention of Section 80 of the Securities Ordinance. She was also ordered to pay costs of \$14,000 to the SFC.

Page last updated : 1 Aug 2012

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證監會檢控並譴責黃謙智非法沽空證券

1997年5月8日

證監會今天公布，該會成功檢控黃謙智（“黃氏”），指其在萬達基證券有限公司任職證券交易商代表期間，曾非法沽空證券。因此，遭證監會亦決定譴責黃氏，以作為紀律處分。

黃氏於1997年5月7日在西區裁判法院被馮驊裁判法官裁定非法沽空證券罪名成立，違反《證券條例》第80條，被判罰款2,000元及須向證監會繳付調查費用14,000元。

如有任何查詢，請致電(28409287)與韋克志或陳志強聯絡。

最後更新日期：2012年8月1日