



Press Releases

Woman charged as ICAC probe reveals using false instrument in broker firm's Responsible Officer application with IA

2023-9-13

The ICAC today (September 13) charged a woman for allegedly using a false job reference letter in her application to the Insurance Authority (IA) as the Responsible Officer of a broker company.

Funnie Lau Ngar-ye, 37, director of ESS Insurance Brokers Limited (ESS), faces one count of using a copy of a false instrument, contrary to Section 74 of the Crimes Ordinance. She was released on ICAC bail to appear at the Eastern Magistrates' Courts on Friday (September 15) for plea.

In accordance with the Insurance Ordinance, licensed insurance companies or broker companies should appoint a Responsible Officer to discharge the relevant responsibilities in relation to regulated business. A Responsible Officer should have at least five years' experience in the insurance industry, including two years' management experience. Prior approval of the IA is required for appointing a Responsible Officer.

At the material time, the defendant was a director of ESS, as well as a sales and marketing assistant manager of Mpfsupermart Company Limited (Mpfsupermart), a licensed broker company. On March 8, 2021, ESS applied to the IA for appointing the defendant as its Responsible Officer.

In the application, the defendant allegedly used a copy of a job reference letter of Mpfsupermart, which she knew was a false instrument, with the intention of inducing the IA to accept it as a copy of a genuine instrument.

The ICAC investigation arose from a corruption complaint. Enquiries revealed that in the job reference letter, the defendant was said to be a marketing director of Mpfsupermart but the company did not have such a post.

Had the IA known that the application contained false information, it would not have approved the defendant's application.

The IA has rendered full assistance to the ICAC during its investigation into the case.

[Back to Index](#)



新聞公佈

廉署調查貪污揭女子涉用假文件向保監局申請任經紀公司負責人

2023年9月13日

廉政公署今日(9月13日)落案起訴一名女子，控告她涉嫌使用虛假工作推薦信，向保險業監管局(保監局)申請擔任一間保險經紀公司的負責人。

劉雅兒，37歲，景願保險經紀有限公司(景願)董事，被控一項使用虛假文書的副本罪名，違反《刑事罪行條例》第74條。她已獲廉署准予保釋至星期五(9月15日)在東區裁判法院答辯。

根據《保險業條例》，持牌保險公司或經紀公司須委任一名負責人以履行涉及受規管活動的相關責任，負責人須擁有最少五年保險業經驗，包括兩年管理經驗。有關公司須獲保監局批准方可委任負責人。

被告於案發時為景願的董事，她同時在一間持牌保險經紀公司積金超市有限公司(積金超市)任職銷售及營銷助理經理。景願於2021年3月8日向保監局申請委任被告為其負責人。

被告涉嫌知道一封積金超市工作推薦信為虛假文書而在上述申請中使用其副本，意圖誘使保監局接受它為真文書的副本。

廉署早前接獲貪污投訴遂展開調查，發現該工作推薦信指被告為積金超市營銷總監，但該公司並沒有該項職位。

如保監局知悉上述申請載有虛假資訊，便不會批准被告的申請。

保監局在廉署調查案件期間提供全面協助。

[返回目錄](#)