

9 October 2001

SFC Prosecutes Wilson Leung

The Securities and Futures Commission (SFC) announced today that it had successfully prosecuted Mr Wilson Leung (Leung), the former Chairman and director of Wiltec Holdings Ltd (Wiltec), under the Securities (Disclosure of Interests) Ordinance (SDIO) for dealing in Wiltec Holdings Ltd shares between 10 July 1998 to 15 July 1999 and failing to disclose his relevant interest in such shares.

Leung pleaded guilty to 2 representative summonses regarding his failure to report such to the Hong Kong Exchanges and Clearing Limited (HKEx) and Wiltec. The 2 summonses relate to his acquisition of 180,000 Wiltec shares on or about 4 May 1999 and disposal of 3.244 million Wiltec shares on or about 15 July 1999. During the period from 10 July 1998 to 15 July 1999, Leung dealt in Wiltec shares through a third party who bought and sold a total of 6.158 million Wiltec shares. The cost of these acquisitions amounted to HK\$7.87 million and the disposals generated proceeds of HK\$16.95 million.

Ms Polly Lo, a Magistrate at Western Magistracy, fined Leung a total of \$10,000 and ordered him to pay costs totalling \$23,771 to the SFC. In passing the sentence, Ms Polly Lo took into account the defendant's mitigation that he has now made proper disclosures to the Hong Kong Stock Exchanges and Clearing Limited and to Wiltec and also co-operated with the SFC.

A Commission spokesman said that directors of listed companies are reminded of their obligations to disclose their dealing in such listed securities within five days to both the HKEx and the listed company. This is essential in order to preserve a fair and well-informed market in which investors have full confidence.

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執法行動

2001 年 10 月 9 日

證監會檢控梁永燊

證券及期貨事務監察委員會（證監會）今天公布，本會成功根據《證券(披露權益)條例》檢控偉達燊集團有限公司(偉達燊)前主席及董事梁永燊(梁氏)，指他在1998年7月10日至1999年7月15日期間就偉達燊股份進行交易，但卻未有披露其在該等股份的有關權益。

梁氏承認兩項指他未有就上述的股份權益向香港交易及結算所有限公司(港交所)及偉達燊作出具報的代表性控罪。該兩項控罪涉及梁氏在或大約在1999年5月4日取得180,000股偉達燊股份，及在或大約在1999年7月15日出售3,244,000股偉達燊股份。在1998年7月10日至1999年7月15日期間，梁氏透過第三者合共買賣6,158,000股偉達燊股份。購入上述股份需款港幣7,870,000元，而出售該等股份所賺取的利潤則為港幣16,950,000元。

西區裁判法院裁判官盧◆福判處梁氏合共罰款10,000元，及命令他向證監會支付調查費用共23,771元。盧◆福在作出判決時已考慮到被告在庭上求情，表示他現已向港交所及偉達燊作出適當的披露，以及曾與證監會合作。

證監會發言人提醒上市公司董事必須在就有關的上市證券進行交易後的5天期間內，向港交所及有關的上市公司作出具報，而此舉對於維持一個投資者具有充分信心且公平運作和信息靈通的市場是非常重要的。