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## SFC Prosecutes Tang Kin Lok, Eddie For Not Attending SFC Investigation Interviews

27 Jan 2004

The SFC has successfully prosecuted Mr Tang Kin Lok for failing to comply with notices issued by SFC's investigators requiring attendance at interviews.

Two notices under Section 33(4) of the Securities and Futures Commission Ordinance and section 183(c) of Securities and Futures Ordinance were issued to Tang requiring him to attend interviews with investigators in connection with two investigations into market manipulation. However, Tang without reasonable excuse did not show up.

Today, Tang was convicted after trial before Magistrate Mr Shum Siu Man at Kowloon City Magistracy. Tang was remanded in custody until 10 February 2004 for sentencing, pending background report.

Ends

Page last updated : 1 Aug 2012

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## 鄧建樂因缺席證監會的調查會見而被檢控

2004年1月27日

證監會已成功檢控鄧建樂(男)，指其未有依照證監會調查員發出的通知的要求而出席會見。

證監會曾根據《證券及期貨事務監察委員會條例》第33(4)條及《證券及期貨條例》第183(c)條向鄧氏發出2份通知，要求他就兩宗有關操縱市場的調查出席會見，並接受調查員查問。然而，鄧氏在無合理解釋的情況下缺席有關的會見。

今天，九龍城裁判法院裁判官沈小民在進行審訊後，裁定鄧氏罪名成立。鄧氏被還押看守，以等候其背景報告，而有關案件將押後至2004年2月10日判刑。

完

最後更新日期：2012年8月1日