

SFC Prosecutes Ng Kwok Kuen for Contravening Part XV of the Securities and Futures Ordinance

22 Sep 2004

The SFC has successfully prosecuted Mr Ng Kwok Kuen, the sole director of Victory Move Technology Limited, under Part XV of the Securities and Futures Ordinance (SFO).

Ng today pleaded guilty to six summonses in relation to the failure of Victory Move to make disclosure to both Hong Kong Exchanges and Clearing Limited and GP Nano Technology Group Limited of (i) its initial 6.58% interests in GP on commencement of the SFO on 1 April 2003, and (ii) the reduction of its interests in the shares of GP on 29 May 2003 and 2 June 2003.

Mr Ian Candy, a Magistrate at Eastern Magistracy, fined Ng a total of \$15,000 and ordered him to pay investigation costs of \$8,000 to the SFC.

Ends

證監會檢控吳國權指其違反《證券及期貨條例》第XV部的規定

2004年9月22日

證監會已根據《證券及期貨條例》第XV部成功檢控Victory Move Technology Limited的唯一董事吳國權(男)。

吳氏今天承認6項控罪。該等控罪指Victory Move未有在《證券及期貨條例》於2003年4月1日生效後，向香港交易及結算所有限公司及廣平納米科技集團有限公司披露Victory Move首次擁有廣平6.58%權益，其後亦未有披露Victory Move擁有的廣平股份權益於2003年5月29日及2003年6月2日有所減少。

東區裁判法院簡達仁裁判官判處吳氏罰款共15,000元及命令其須向證監會支付8,000元調查費。

完

最後更新日期：2012年8月1日