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## SFC Prosecutes Tsoi Man Chi For Contravening Part XV of the Securities and Futures Ordinance

23 Feb 2006

The SFC has successfully prosecuted Mr Tsoi Man Chi under Part XV of the Securities and Futures Ordinance.

Tsoi today pleaded guilty to two summonses in relation to his failure to notify both the Stock Exchange of Hong Kong and Northern International Holdings Limited within the specified period regarding his acquisition of shares in Northern International on 31 March 2005.

Mr Josiah Lam Wai Kuen, a Magistrate at Eastern Magistracy, fined Tsoi \$4,000 in total and ordered him to pay investigation costs of \$9,098 to the SFC.

Ends

Page last updated : 1 Aug 2012

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## 證監會檢控蔡敏智指其違反《證券及期貨條例》第XV部

2006年2月23日

證監會根據《證券及期貨條例》第XV部成功檢控蔡敏智(男)。

蔡今天承認兩項控罪，有關控罪指他沒有在指明期間內，就其於2005年3月31日取得北方興業控股有限公司的股份一事，向香港聯合交易所及北方興業作出具報。

東區裁判法院林偉權裁判官判處蔡罰款合共4,000元，並命令蔡須向證監會繳付調查費9,098元。

完

最後更新日期：2012年8月1日