
Enforcement News

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12 April 2007

SFC Prosecutes Fu He for Contravening Part XV of the Securities and Futures Ordinance

The SFC has successfully prosecuted Mr Fu He under Part XV of the Securities and Futures Ordinance.

In January 2006, Fu, a director of China State Construction International Holdings Limited, sold 400,000 shares in China Overseas Land & Investment Limited. China Overseas is an associated corporation of China State Construction. Fu failed his duty to disclose the disposal to the Stock Exchange and China State within three business days. As a result, Fu contravened the notification requirements under Part XV of the SFO.

Fu today pleaded guilty to two summonses before Mr Garry Tallentire, a Principal Magistrate at Eastern Magistracy. Fu was fined \$8,000 and ordered to pay investigation costs of \$7,276 to the SFC.

Ends

Page last updated: 20 June 2007

與執法有關的新聞

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2007年 4月 12日

證監會檢控符合指其違反《證券及期貨條例》第XV部

證監會根據《證券及期貨條例》第XV部成功檢控符合（男）。

符合身為中國建築國際集團有限公司的董事，於2006年1月出售400,000股中國海外發展有限公司的股份。中國海外是中國建築的相聯法團。符合沒有履行其在三個營業日內向聯交所及中國建築披露該項出售的責任。因此，符合違反了《證券及期貨條例》第XV部的具報規定。

符合今天在東區裁判法院向鄧立泰（Mr Garry Tallentire）主任裁判官承認兩項控罪。符合被判處罰款8,000元及被命令須向證監會繳付調查費7,276元。

完

最後更新日期: 2007年 6月 20日