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Chan Kim Wing Convicted of Not Attending SFC Investigation Interviews

15 Apr 2005

The SFC has successfully prosecuted Mr Chan Kim Wing for failing to comply with notices issued by SFC's investigators requiring attendance at interviews.

Two notices under Section 33(4) of the Securities and Futures Commission Ordinance and section 183(c) of Securities and Futures Ordinance were issued to Chan requiring him to attend interviews with investigators in connection with two investigations into market manipulation. However, Chan without reasonable excuse did not show up.

Today, Chan pleaded guilty to two summonses before Magistrate Ms Amanda Jane Woodcock at Kwun Tong Magistracy. Chan was fined \$30,000 and ordered to pay \$2,248 investigation costs.

Ends

Page last updated : 1 Aug 2012

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陳劍榮因缺席證監會的調查會見而被定罪

2005年4月15日

證監會成功檢控陳劍榮(男)，指其未有依照證監會調查員發出的通知而出席會見。

證監會曾根據《證券及期貨事務監察委員會條例》第33(4)條及《證券及期貨條例》第183(c)條向陳發出2份通知，要求他就兩項有關操縱市場的調查出席會見並接受調查員查問。然而，陳在無合理解釋的情況下缺席有關的會見。

陳今天在觀塘裁判法院向胡雅文裁判官(Ms Amanda Jane Woodcock)承認2項控罪。陳被判處罰款30,000元及被命令須繳付調查費2,248元。

完

最後更新日期：2012年8月1日