

[Home](#) ▶ [News & announcements](#) ▶ [News](#) ▶ [Enforcement news](#)

SFC Revokes the Registration of Kwan Chun Hung

30 Oct 1997

The Securities and Futures Commission announced today that it had revoked the registration of Mr Kwan Chun Hung (Kwan) as a securities dealer's representative of Wong Yuen Fong trading as Hing Shing Investments Company (Hing Shing) on the grounds of his misconduct.

The action resulted from an inquiry by the SFC under section 56 of the Securities Ordinance in which it was found Kwan had misappropriated monies of 32 investors who were deceived into believing their orders to buy or sell securities were properly executed through Hing Shing. Kwan was dismissed by Hing Shing on 11 August 1997 after the discovery of his misconduct.

Page last updated : 1 Aug 2012

[主頁](#) ▶ [新聞稿及公布](#) ▶ [新聞稿](#) ▶ [執法消息](#)

證監會撤銷關鎮雄的註冊

1997年10月30日

證監會今天公布，鑑於黃婉芳（以興盛證券公司名義經營業務）屬下的證券交易商代表關鎮雄（關氏）行為失當，該會決定撤銷關氏的註冊。

證監會根據《證券條例》第56條進行查訊後作出上述行動。證監會在查訊後發現關氏私自挪用32名投資者的金錢，更欺騙及使他們相信其股票買賣盤已透過興盛妥善執行。當發現關氏行為失當後，興盛已於1997年8月11日開除關氏。

如有任何查詢，請致電(2840-9287)與韋克志或陳志強聯絡。

最後更新日期：2012年8月1日